



Comprehensive management and prevention of tuberculosis-associated pneumothorax: A case series analysis

Rajendra Tatu Nanavare^{1*}, Dipak Vinayak Chaudhari²

¹ Chest Physician Unit Head, Department of Medical, Group of TB Hospital, Sewri, Mumbai, Maharashtra, India

² Medical Officer, Department of Medical, Group of TB Hospital, Sewri, Mumbai, Maharashtra, India

Abstract

This case series examines the presentation, management, and outcomes of pneumothorax in patients with tuberculosis (TB). The focus is on conservative management strategies, the efficacy of non-invasive treatments, and the psychosocial impact of TB and its complications.

Introduction: Pneumothorax, the presence of air in the pleural cavity causing lung collapse, is classified into traumatic and atraumatic types. Atraumatic pneumothorax includes primary (PSP) and secondary (SSP) forms, with SSP often resulting from underlying lung diseases such as tuberculosis (TB). TB is a prevalent cause of SSP in India, unlike in Western countries where COPD is more common. The diagnosis typically involves clinical assessment and imaging, while treatment varies from observation to invasive procedures based on severity and symptoms.

Case Summaries

1. Case 1: A 26-year-old male with MDR-TB developed a small pneumothorax after a year on a complex anti-TB regimen. Conservative management with spirometer exercises led to lung re-expansion. Despite mild persistent neuropathy, the patient was cured after 18 months of treatment.
2. Case 2: An 18-year-old female with drug-sensitive TB presented with a mild to moderate pneumothorax. Conservative observation and spirometry resulted in improvement and eventual cure after six months of treatment.

Discussion: Pneumothorax in TB patients can complicate management, especially in MDR-TB cases with prolonged and complex treatment regimens. Conservative management with observation and spirometry exercises can be effective for small pneumothoraxes. Close monitoring through follow-up imaging is crucial to ensure successful outcomes.

Complications of TB Pneumothorax: Potential complications include empyema, bronchopleural fistula, fibrothorax, recurrent pneumothorax, respiratory failure, hemoptysis, chronic pleuritis, and tuberculous pleurisy. Effective management of both TB and pneumothorax is essential to prevent these complications.

Financial and Psychosocial Impact: Both cases highlighted significant financial burdens, emotional disturbances, and social isolation due to the prolonged nature of TB treatment and its complications. Comprehensive care addressing medical and psychosocial aspects is crucial for patient recovery and well-being.

Conclusion: Conservative management of small pneumothoraxes in TB patients can be effective and avoids the risks associated with invasive procedures. Regular follow-up and comprehensive care are essential for optimal outcomes. Public awareness, accessible healthcare, and socioeconomic support are critical for managing TB and its complications.

Keywords: Pneumothorax, secondary spontaneous pneumothorax, tb pneumothorax

Introduction

The term 'Pneumothorax' is defined as the accumulation of air in the pleural cavity with collapse of the surrounding lung. A pneumothorax is a buildup of air outside the lung but within the pleural cavity, occurring when air gathers between the parietal and visceral pleurae in the chest. This air accumulation can pressure the lung and cause it to collapse, with the extent of collapse influencing the clinical presentation of pneumothorax. Air can enter the pleural space through two mechanisms: trauma causing communication through the chest wall or lung rupture due to visceral pleura rupture^[1,2].

There are two types of pneumothoraxes: traumatic and atraumatic. Atraumatic pneumothorax includes primary and secondary subtypes. A primary spontaneous pneumothorax (PSP) happens without any known triggering event, whereas a secondary spontaneous pneumothorax (SSP) occurs due to an underlying lung disease. Traumatic pneumothorax can result from blunt or penetrating trauma. On the other hand, SSP occurs as a complication of underlying lung disease

mostly Tuberculosis in India, and COPD in western countries^[3]. Pneumothoraxes can also be categorized as simple, tension, or open. A simple pneumothorax does not cause mediastinal structures to shift, unlike a tension pneumothorax. An open pneumothorax involves an open chest wall wound through which air moves in and out^[4].

The most common mode of presentation of spontaneous Pneumothorax is sharp unilateral chest pain which is continuous and exacerbated by deep inspiration and postural change^[5]. Patient may also present with dyspnea or sometimes both. The possibility of Pneumothorax should be considered in any patient with COPD who has increasing shortness of breath, particularly if chest pain is also present. Most of the pneumothoraxes can be diagnosed accurately with physical examination and chest radiograph, though occasionally a chest CT might be required^[6].

Etiology

Diseases associated with secondary spontaneous pneumothorax: COPD, Tuberculosis, Asthma, Bronchogenic carcinoma, Idiopathic pulmonary fibrosis,

HIV with pneumocystis pneumonia, Necrotizing pneumonia, Sarcoidosis, Cystic fibrosis, Severe ARDS, Langerhans cell histiocytosis Lymphangiomyomatosis, Collagen vascular disease, Inhalational drug use like cocaine or marijuana, Thoracic endometriosis

Epidemiology

Limited data is available on epidemiology of spontaneous pneumothorax (SP) from India.

Epidemiology: Primary spontaneous pneumothorax mainly occurs at 20-30 years of age [7]. Most recurrence occurs within the first year, and incidence ranges widely from 25% to 50%. The recurrence rate is highest over the first 30 days. Secondary spontaneous pneumothorax is seen more in old-age patients 60-65 years. The incidence of SSP is 6.3 and 2 cases for men and women per 100,000 patients, respectively. The male-to-female ratio is 3:1. COPD has an incidence of 26 pneumothoraxes per 100,000 patients [8]. The risk of spontaneous pneumothorax in heavy smokers is 102 times higher than in non-smokers.

The leading cause of iatrogenic pneumothorax is transthoracic needle aspiration (usually for biopsies), and the second leading cause is central venous catheterization. These occur more frequently than spontaneous pneumothorax, and their number increases as intensive care modalities advance. The incidence of iatrogenic pneumothorax is 5 per 10,000 admissions in the hospital.

Pathophysiology

The pressure gradient inside the thorax changes with a pneumothorax. Usually, the pressure of the pleural space is negative when compared to atmospheric pressure. When the chest wall expands outwards, the lung also expands outwards due to surface tension between the parietal and visceral pleurae. Lungs tend to collapse due to elastic recoil. When there is communication between the alveoli and the pleural space, air fills this space changing the gradient, lung collapse unit equilibrium is achieved, or the rupture is sealed. Pneumothorax enlarges, and the lung gets smaller due to this vital capacity, and oxygen partial pressure decreases. Clinical presentation of a pneumothorax can range anywhere from asymptomatic to chest pain and shortness of breath. A tension pneumothorax can cause severe hypotension (obstructive shock) and even death. Increased central venous pressure can result in distended neck veins and hypotension. Patients may have tachypnea, dyspnea, tachycardia, and hypoxia [9].

History and Physical examination and Evaluation [10, 11, 12, 13]

Diagnosing pneumothorax, including secondary spontaneous pneumothorax (SSP), involves a combination of clinical assessment, imaging studies, and sometimes laboratory tests.

Clinical Assessment

- History and Symptoms:** Sudden onset of sharp chest pain. Shortness of breath. In secondary spontaneous pneumothorax (SSP), a history of underlying lung disease (e.g., COPD, cystic fibrosis, tuberculosis).
- Physical Examination:** Decreased or absent breath sounds on the affected side. Hyperresonance to percussion. Decreased chest wall movement on the

affected side. Tracheal deviation (in tension pneumothorax).

Imaging Studies

- Chest X-ray:** The primary and most common imaging technique, Identifies the presence of air in the pleural space. In SSP, it may also show underlying lung pathology. A posteroanterior (PA) and lateral X-ray are typically done. An expiratory film can also be helpful.
- Computed Tomography (CT) Scan:** More sensitive and specific than chest X-ray. Used when the diagnosis is unclear from the chest X-ray. Helps identify small pneumothoraxes and underlying lung disease. Useful for planning treatment, especially surgical interventions.
- Ultrasound:** Increasingly used in emergency settings. Can quickly diagnose pneumothorax, especially in trauma patients. Detects the absence of lung sliding, which is indicative of pneumothorax.

Laboratory Tests

- Arterial Blood Gas (ABG) Analysis:** May show hypoxemia (low oxygen levels) and hypercapnia (elevated carbon dioxide levels). More commonly used in severe cases or when the patient has significant respiratory distress.
- Other Tests:** Not specifically diagnostic for pneumothorax, but tests like complete blood count (CBC) and biochemistry panel may be done to assess the patient's overall condition and rule out other causes of symptoms.

Advanced Techniques

- Pleural Manometry:** Measures pleural pressures. Sometimes used in the management of pneumothorax, especially during thoracentesis (pleural fluid drainage).

Diagnosis of Secondary Spontaneous Pneumothorax (SSP)

- History and Physical Exam:** A thorough history to identify underlying lung disease.
- Imaging:** Same as primary pneumothorax but with a focus on identifying the underlying lung pathology (e.g., bullae in emphysema).
- Pulmonary Function Tests (PFTs):** To evaluate the underlying lung disease, although not for diagnosing pneumothorax per se.

Summary

For pneumothorax and SSP, a combination of clinical assessment, chest X-ray, and possibly CT scan forms the cornerstone of diagnosis. Ultrasound is becoming more common in emergency settings. Identifying the underlying lung disease is crucial in SSP, guiding both diagnosis and management.

Treatment Management

Treatment varies according to the clinical situation

For patients with symptoms and instability, needle decompression is the treatment for a pneumothorax, using a

14- to 16-gauge, 4.5 cm Angio catheter, inserted just above the rib in the second intercostal space at the midclavicular line. Post-decompression or for stable pneumothoraxes, a thoracostomy tube is inserted above the rib in the fifth intercostal space, anterior to the midaxillary line, with size varying by patient and hemothorax presence [9].

For asymptomatic, small primary spontaneous pneumothorax (<2 cm), the patient is typically discharged with follow-up in 2-4 weeks. If symptomatic or >2 cm, needle aspiration is performed. If post-aspiration depth is <2 cm, the patient is discharged; otherwise, a thoracostomy tube is placed.

For secondary spontaneous pneumothorax <1 cm without dyspnea, the patient is admitted, given high-flow oxygen, and observed for 24 hours. For size 1-2 cm, needle aspiration is followed by observation; if residual depth is <1 cm, oxygen and observation continue; >2 cm requires a thoracostomy tube. Depth >2 cm or breathlessness also necessitates a thoracostomy tube.

Air reabsorbs from the pleural space at 1.5%/day, with supplemental oxygen increasing this rate by changing the pressure gradient. Pneumothorax >25% on chest radiography usually requires needle aspiration if symptomatic, followed by thoracostomy if aspiration fails.

Prognosis

Prognosis; PSP is usually benign and mostly resolves independently without any significant intervention. Recurrence can occur for up to three years period. The recurrence rate in the following five years is 30% for PSP and 43% for SSP. The risk of recurrence increases with each subsequent pneumothorax; it is 30% with the first, 40% after a second, and more than 50% after the third recurrence. PSP is not considered a significant health threat, but deaths have been reported. SSPs are more lethal depending on underlying lung disease and the size of the pneumothorax. Patients with COPD and HIV have high mortality after pneumothorax. The mortality of SSP is 10%. Mortality of tension pneumothorax is high if appropriate measures are not taken [9].

Complications of TB Pneumothorax

Tuberculosis (TB) pneumothorax can lead to several complications, both from the TB infection itself and from the pneumothorax. Some potential complications include:

- 1. Empyema:** This is an accumulation of pus in the pleural space, which can occur due to infection spreading from the lung.
- 2. Bronchopleural fistula:** A persistent communication between the bronchial tree and the pleural space can result from TB-related lung destruction, leading to continuous air leak and difficulty in resolving the pneumothorax.
- 3. Fibrothorax:** Extensive fibrosis in the pleural space can lead to thickening and restriction of lung expansion, causing chronic respiratory impairment.
- 4. Recurrent pneumothorax:** TB can cause structural lung damage, leading to a higher risk of recurrent pneumothoraxes.
- 5. Respiratory failure:** Severe pneumothorax, particularly if bilateral or in combination with

underlying TB-related lung damage, can lead to respiratory failure.

- 6. Hemoptysis:** TB can cause significant bleeding in the lungs, and if a pneumothorax occurs concurrently, it can worsen the patient's condition.
- 7. Chronic pleuritis:** Persistent inflammation of the pleura due to TB can lead to chronic pain and respiratory issues.
- 8. Tuberculous pleurisy:** This can lead to pleural effusion (fluid accumulation in the pleural space) which might complicate the clinical picture.

Prompt and effective management of both TB and pneumothorax is crucial to prevent these complications. Treatment typically involves anti-tuberculous therapy, management of the pneumothorax (e.g., chest tube insertion), and addressing any complications as they arise.

Aim: To evaluate the effectiveness of conservative management in treating pneumothorax in tuberculosis (TB) patients, highlighting the successful outcomes without the need for invasive surgical interventions and addressing the complications associated with surgical management.

Objectives

- 1. Assess Conservative Treatment Outcomes:** To document and analyze the clinical outcomes of TB patients with pneumothorax managed through conservative methods such as observation and spirometry exercises.
- 2. Identify Complications of Surgical Management:** To review and discuss the potential complications and risks associated with invasive surgical treatments like needle aspiration and intercostal chest drainage (ICD) in the context of TB-related pneumothorax.
- 3. Evaluate Patient Quality of Life:** To assess the impact of conservative management on the overall quality of life, including physical health, emotional well-being, and social interactions of TB patients.
- 4. Highlight the Financial and Emotional Burdens:** To explore the financial implications, emotional disturbances, and social isolation experienced by TB patients undergoing prolonged treatment, emphasizing the benefits of non-invasive management approaches.
- 5. Establish Best Practices:** To propose best practice guidelines for the management of pneumothorax in TB patients, advocating for conservative treatment as a viable option to avoid surgical complications while ensuring effective patient outcomes.
- 6. Promote Holistic Patient Care:** To underscore the importance of addressing the psychosocial aspects of TB treatment, ensuring comprehensive care that includes financial support, emotional counseling, and strategies to combat social stigma.

By achieving these objectives, the case series aims to provide valuable insights into the management of

pneumothorax in TB patients, advocating for conservative treatment approaches that minimize surgical risks and enhance patient quality of life.

Retrospective Observational Case Series Case Series

26 yrs. male patient comes with history of Breathlessness since one week, patient is on Anti TB drugs All oral longer regimen with Bedaquilin Levofloxacin Linezolid Cycloserine and Clofazimine more than one year, he was having two months back drug induced peripheral neuropathy P.N. for which Linezolid was stopped and Gabapin NT was given. Patient was relieved with Gabapin NT drug, patient personal history was good no history of addiction and any co morbidity. Advised to take Xray chest PA view, it shows small pocket of Pneumothorax. As he was already on Anti TB AKT since more than one year, and pocket of Pneumothorax is small, we decided to keep under observation with only spirometer as a breathing exercise, after 10 days we have repeated xray chest PA view and found that lung is expanded. We continue his treatment and after 18 months looking all the parameters e.g Clinical improvement like Symptoms with clinical examination and weight Sputum Culture AFB more than last 3 consecutive Negative. We stopped treatment with remarks as Cured. Patient still have symptoms of peripheral neuropathy which is very mild.

18 yrs. old female patient history of Pulmonary TB comes with Breathlessness since one week patient is on Anti TB treatment for 2 months, her Xray chest PA view was having bilateral extensive disease with GenXpert test MTB detected with no Rifampicin resistance so it was a case of Drug sensitive pulmonary TB DSTB, and started on first line drugs Rifampicin pyrazinamide ethambutol and Isoniazid, patient didn't come for follow up but she says she had taken two months regular treatment. Xray chest PA view was taken shows there is mild to moderate a pocket of Pneumothorax and she will require to keep under observation, so was admitted in hospital and decided to keep under observation with spirometer breathing exercise. After 2 weeks she improved with lung expanded and was discharged from hospital and advised to continue Anti TB treatment and after 6 months with all the parameters normal, Anti TB treatment was stopped and given remark as Cured.

Discussion on Pneumothorax in Tuberculosis Patients: A Case Series

Introduction

Pneumothorax, defined as the presence of air in the pleural space, can complicate the course of pulmonary tuberculosis (TB). It occurs either spontaneously or as a result of TB treatment, especially in patients with extensive pulmonary involvement. This case series discusses the presentation, management, and outcomes of pneumothorax in two TB patients under different treatment regimens.

Case 1: Male, 26 years old with Multidrug-resistant Tuberculosis (MDR-TB)

Clinical Presentation: The patient presented with breathlessness for one week. He was on a prolonged regimen of anti-TB drugs including Bedaquiline, Levofloxacin, Linezolid, Cycloserine, and Clofazimine for over a year due to MDR-TB.

Complications: He developed drug-induced peripheral neuropathy (PN), leading to the cessation of Linezolid and initiation of Gabapentin.

Diagnosis and Management of Pneumothorax: A small pocket of pneumothorax was detected on a chest X-ray. Given its size and the patient's stable condition, conservative management with observation and spirometer exercises was chosen. Follow-up imaging after 10 days showed lung re-expansion.

Outcome: After 18 months of continued anti-TB therapy, with consistent clinical improvement and negative sputum cultures, the patient was declared cured. However, mild symptoms of PN persisted.

Case 2: Female, 18 years old with Drug-sensitive Pulmonary Tuberculosis (DSTB)

Clinical Presentation: The patient, with a two-month history of anti-TB treatment for drug-sensitive TB, presented with breathlessness.

Diagnosis and Management of Pneumothorax: An X-ray revealed bilateral extensive disease with a mild to moderate pneumothorax. Despite not attending follow-up, she had taken regular treatment for two months. She was admitted and managed with observation and spirometer exercises. After two weeks, improvement and lung re-expansion were observed.

Outcome: The patient continued anti-TB treatment for six months and, upon normalization of all parameters, was declared cured.

Discussion

Pneumothorax in TB Patients: Pneumothorax can arise due to the rupture of TB cavities into the pleural space or due to barotrauma from the extensive parenchymal damage caused by TB. The occurrence of pneumothorax can complicate the already challenging management of TB, particularly in MDR-TB cases where the treatment regimens are long and complex.

Management Strategies

Observation and Conservative Treatment: Both cases were managed conservatively with observation and spirometry, avoiding invasive procedures like chest tube insertion, which might have been considered for larger or symptomatic pneumothoraxes.

Importance of Follow-up: Regular monitoring through clinical and radiological assessments is crucial. In both cases, follow-up chest X-rays confirmed lung re-expansion, demonstrating the effectiveness of conservative management.

Peripheral Neuropathy Management: The first case highlights the importance of managing drug-induced complications like peripheral neuropathy. Adjustments in the anti-TB regimen and the addition of symptomatic treatment with Gabapentin led to relief of neuropathic symptoms.

Outcomes: Both patients were ultimately declared cured based on clinical, radiological, and microbiological criteria. The male patient continued to have mild PN symptoms, a reminder of the long-term sequelae that can accompany TB and its treatment.

Inference

This case series underscores the significance of vigilant monitoring and tailored management strategies for pneumothorax in TB patients. Conservative management with close observation and supportive care can be effective, especially in small pneumothoraces. Additionally, addressing drug-related side effects is crucial for patient compliance and overall treatment success. Regular follow-up and comprehensive care are essential for achieving favorable outcomes in TB patients complicated by pneumothorax.

Conclusion

This case series highlights the presentation and management of pneumothorax in tuberculosis (TB) patients, emphasizing the efficacy of conservative observation-based treatment in specific scenarios. Both cases demonstrate that small pneumothoraces in stable patients can be successfully managed with careful observation, spirometry exercises, and supportive care without immediate invasive interventions.

Key Points

Conservative Management

- Small pneumothoraces in TB patients can often be managed with observation and spirometry, avoiding the risks associated with invasive procedures.
- Regular follow-up with clinical and radiological assessments is crucial to ensure lung re-expansion and monitor for potential complications.

2. Invasive Procedures: In cases where pneumothorax is large, symptomatic, or fails to resolve with conservative measures, more invasive interventions such as needle aspiration or intercostal chest drainage (ICD) may be necessary.

- Needle aspiration can be considered for moderate-sized pneumothoraces where immediate relief of symptoms is needed.
- ICD is indicated for large pneumothoraces, tension pneumothorax, or recurrent cases, providing continuous evacuation of air from the pleural space and allowing lung re-expansion.

3. Outcomes

- Successful outcomes with conservative management in these cases reinforce the importance of individualized treatment plans based on the size of the pneumothorax, patient stability, and response to initial management.
- Long-term follow-up to assess complete resolution and monitor for potential recurrence or chronic complications is essential.

In conclusion, conservative observation-based management can be an effective initial approach for small, uncomplicated pneumothoraces in TB patients. However, clinicians should be prepared to escalate treatment to needle aspiration or ICD in cases of larger or symptomatic pneumothoraces, or when conservative measures fail. This tailored approach ensures

optimal patient outcomes while minimizing unnecessary interventions.

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3. Fibrothorax: Extensive fibrosis in the pleural space can lead to thickening and restriction of lung expansion, causing chronic respiratory impairment.

4. Recurrent pneumothorax: TB can cause structural lung damage, leading to a higher risk of recurrent pneumothoraces.

5. Respiratory failure: Severe pneumothorax, particularly if bilateral or in combination with underlying TB-related lung damage, can lead to respiratory failure.

6. Hemoptysis: TB can cause significant bleeding in the lungs, and if a pneumothorax occurs concurrently, it can worsen the patient's condition.

7. Chronic pleuritis: Persistent inflammation of the pleura due to TB can lead to chronic pain and respiratory issues.

8. Tuberculous pleurisy: This can lead to pleural effusion (fluid accumulation in the pleural space) which might complicate the clinical picture.

Prompt and effective management of both TB and pneumothorax is crucial to prevent these complications. Treatment typically involves anti-tuberculous therapy, management of the pneumothorax (e.g., chest tube insertion), and addressing any complications as they arise.

Summary

This case series explores the occurrence and management of pneumothorax in two tuberculosis (TB) patients, highlighting the prolonged illness, financial burdens, emotional disturbances, and social isolation experienced due to TB and its complications.

Case Summaries

1. Male, 26 years old with MDR-TB

- **Clinical Course:** Presented with breathlessness and was on a complex anti-TB regimen for over a year. Developed a small pneumothorax managed conservatively with observation and spirometry.
- **Challenges:** Suffered from drug-induced peripheral neuropathy and had to adjust his treatment, leading to additional stress and health issues. The extended treatment duration caused significant financial strain and emotional stress.

2. Female, 18 years old with DSTB

- **Clinical Course:** Also presented with breathlessness, with a mild to moderate pneumothorax managed conservatively. Despite the challenges, she showed improvement and completed her treatment.
- **Challenges:** The extensive disease led to a prolonged illness, contributing to financial difficulties and emotional distress due to the stigma associated with TB.

Overall Impact

- **Financial Loss:** Both patients experienced significant financial burdens due to the lengthy treatment durations, medical costs, and potential loss of income.
- **Emotional Disturbances:** The chronic nature of TB, compounded by complications like pneumothorax, led to emotional stress, anxiety, and depression.
- **Social Isolation:** Stigma towards TB resulted in social isolation, with patients facing discrimination and reduced social interactions, exacerbating their emotional distress.

Conclusion

The case series underscores the importance of comprehensive care that addresses not only the medical but also the psychosocial aspects of TB. Effective management of complications like pneumothorax, combined with support systems to mitigate financial, emotional, and social challenges, is crucial for the overall well-being and recovery of TB patients.

Message

Social or Community Level

1. **Public Awareness Campaigns:** "Empower communities with knowledge about TB and its complications. Awareness and education are critical in early diagnosis and reducing the stigma associated with TB."
2. **Healthcare Accessibility:** "Enhance access to affordable and quality healthcare services. Early detection and treatment of TB can prevent severe complications like pneumothorax."
3. **Socioeconomic Support:** "Implement social support programs to address the root causes of TB, such as poverty and malnutrition, ensuring comprehensive care and prevention strategies."

Clinical Level

1. **Early Diagnosis:** "Utilize advanced diagnostic tools for prompt identification of TB and pneumothorax. Early diagnosis is key to effective management and better patient outcomes."
2. **Standardized Treatment Protocols:** "Adopt and adhere to standardized treatment protocols combining anti-TB medications with necessary interventions for pneumothorax. This multidisciplinary approach ensures comprehensive patient care."
3. **Monitoring and Follow-Up:** "Implement rigorous follow-up protocols to monitor patient progress and adjust treatments as necessary. Continuous monitoring

can prevent recurrence and improve overall health outcomes."

Way Forward

1. Research and Innovation: "Invest in research to understand TB pneumothorax better and develop innovative diagnostic and treatment methods. Research is vital for advancing care and finding new solutions."

2. Prevention Strategies: "Strengthen TB vaccination and infection control programs. Prevention strategies are crucial in reducing TB incidence and its complications."

3. Global Collaboration: "Foster international collaboration and share knowledge globally to combat TB. Working together, we can enhance national TB programs and move towards global TB elimination."

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